

1 Michael D. Seplow, SBN 150183  
Genie Harrison, SBN 163641  
2 Amber E. Phillips, SBN 280107  
Erin M. Pulaski, SBN 270998  
3 SCHONBRUN DESIMONE SEFLOW  
HARRIS HOFFMAN & HARRISON LLP  
4 723 Ocean Front Walk  
Venice, California 90291  
5 Telephone: (310) 396-0731  
Fax: (310) 399-7040

6 Olu Orange, SBN 213653  
7 ORANGE LAW OFFICES  
3435 Wilshire Blvd, Suite 2900  
8 Los Angeles, CA 90010  
Telephone: 213-736-9900  
9 Fax: 213- 406-1250

10 Colleen Flynn, SBN 234281  
LAW OFFICE OF COLLEEN FLYNN  
11 3435 Wilshire Blvd, Suite 2900  
Los Angeles, CA 90010  
12 Telephone: 213-252-9444

13 Attorneys for Plaintiff

14 SUPERIOR COURT OF THE STATE OF CALIFORNIA  
15 COUNTY OF LOS ANGELES

17 VALERIE ALBERTS, RUDOLPH )  
BREILEIN, ROBIN MOTOLA, CYNDI )  
18 LANE, SHELBY EIDSON, AVIANCE )  
CONTRERAS, )

19 Plaintiffs, )

20 v. )

21 AURORA BEHAVIORAL HEALTH CARE, )  
22 AURORA LAS ENCINAS LLC, AURORA )  
CHARTER OAK - LOS ANGELES LLC; )  
23 AURORA VISTA DEL MAR LLC, )  
AURORA-SAN DIEGO, LLC and DOES 1 )  
24 through 100, inclusive, )

25 Defendants. )

) Case No: BC 419340  
)  
) [Assigned for all purposes to the Hon. David  
) Minning]

) **PLAINTIFFS' NOTICE OF MOTION  
) AND MOTION FOR CLASS  
) CERTIFICATION; MEMORANDUM  
) OF POINTS & AUTHORITIES IN  
) SUPPORT THEREOF**

) Date: July 27, 2012  
) Time: 9:00 a.m.  
) Dept. 61

) [Declarations in support thereof filed  
) concurrently under separate covers.]

[C.C.P. § 382, C.R.C. 3.764]

**CONFORMED COPY  
ORIGINAL FILED  
SUPERIOR COURT OF CALIFORNIA  
COUNTY OF LOS ANGELES**

**MAY 25 2012**

John A. Clarke, Executive Officer/Clerk  
BY *[Signature]* Deputy  
*[Signature]* Juliana

1 TO THE COURT AND DEFENDANTS AND THEIR ATTORNEYS OF RECORD:

2 PLEASE TAKE NOTICE that on July 27, 2012, at 9:00 a.m. in Department 61 of the  
3 above entitled Court located at 111 N. Hill Street, Los Angeles, CA 90012, Plaintiffs Valerie  
4 Alberts, Rudolph Breilein, Robin Motola, Cyndi Lane, Shelby Eidson and Aviance Contreras  
5 will move this Court for an order certifying the instant action to proceed as a class action  
6 pursuant to California Code of Civil Procedure § 382.

7 The Motion is based on the following grounds:

- 8 (1) The proposed class is ascertainable;
- 9 (2) The proposed class is sufficiently numerous to warrant prosecution as a class  
10 action;
- 11 (3) The proposed class members have an adequate community of interest to warrant  
12 prosecution as a class action;
- 13 (4) The claims of the class representative plaintiffs are typical of the claims of the  
14 other class members;
- 15 (5) Both the representative plaintiffs and their counsel are "adequate" for purposes of  
16 class certification; and
- 17 (6) There are factual and legal issues that are common to the class as a whole and/or  
18 the specified sub-classes, sufficient in importance so that their adjudication on a  
19 class basis is a superior means of proceeding in this instance both for the litigants  
20 and the Court.

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1 The Motion is based on this Notice of Motion, the accompanying Memorandum of Points  
2 and Authorities and the Declarations of Michael D. Seplow, Genie Harrison, Brian Kriegler,  
3 Denise Rounds, Valerie Alberts, Rudolph Breilein, Kim Cabrera, Helen Chesson, Mark Cline,  
4 Aviance Contreras, Jacqueline de la Cruz, Shelby Eidson, Luis Empalmado, Elizabeth Galvan,  
5 Theresa Garcia, Nadine Ilarraza. Hilary Johnson, Belle Lagerstrom, Cyndi Lane, Jeane Ledbetter,  
6 Janine Moody, Robin Motola, Jean Palionis, Joe Pittman, Viviana Radulescu, Lisa Ramirez,  
7 Pamela Ruiz, Robert Sanchez, and Rosalind Spann, as well as the exhibits attached thereto, the  
8 Court's file in this matter, and such further evidence and argument as may be heard by the court.  
9

10 Dated: May 25, 2012

SCHONBRUN DESIMONE SELOW  
HARRIS HOFFMAN & HARRISON LLP

11  
12 By: 

13 Michael D. Seplow  
14 Genie Harrison  
15 Amber Phillips  
16 Erin Pulaski  
17 Attorneys for Plaintiffs  
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1 **I. INTRODUCTION**

2 This case arises out of Defendants' rampant class wide violations of California's labor  
3 laws at two Southern California psychiatric hospitals: Aurora Las Encinas ("Las Encinas") in  
4 Pasadena and Aurora Charter Oak ("Charter Oak") in Covina. The proposed class and subclasses  
5 consist of nurses and other patient care employees who were and are subjected to common  
6 policies and practices which resulted in the systemic denial of rest and meal breaks, overtime and  
7 reimbursement for work related expenses. Defendants' facilities house and treat people with  
8 mental and emotional problems. The nurses and other patient care employees who staff these  
9 hospitals are required to place patient care and safety above all other concerns.

10 According to the staffing regulations and hospital policies, nurses and other patient care  
11 workers could not be relieved of their patient care duties unless another staff member was present  
12 to relieve them. However, it was Defendants' policy and practice throughout the class period not  
13 to schedule any relief on the units for employees to take their 10 minute rest breaks. Because  
14 staff could not leave their patients without proper coverage, they were routinely denied rest  
15 breaks in which they were relieved of all duties. This resulted in a class wide denial of rest  
16 breaks to nursing staff in violation of the California Labor Code.

17 Defendants also maintained a standard policy and practice with respect to 30 minute meal  
18 breaks which violates the California Labor Code. Instead of providing employees with an  
19 uninterrupted 30 minute meal break relieved of all duty within the first five hours of work,  
20 Defendants consistently did not provide meal break relief for their employees. Moreover, despite  
21 the fact that Defendants were well aware that their employees were not being relieved of all  
22 duties during their breaks, Defendants placed the onus on their employees who had to work  
23 during their meal breaks to obtain approval for the missed meals from their supervisors.  
24 Defendants actively discouraged employees from seeking compensation for missed meal breaks  
25 and supervisors routinely refused to authorize missed meal breaks. As a result, employees were  
26 encouraged to clock out and keep working during their breaks and after their shifts. Further,  
27 consistent with these policies, employees were pressured to work "off the clock" at the ends of  
28 their shifts in order to complete their required patient care assignments. Additionally, as a result



1 of Defendants' practices, nursing staff employees had to provide their own equipment, and to pay  
2 for their own mandatory CPR training, in violation of the law.

3 Common proof supports Plaintiffs' claims. In addition to the declarations and testimony  
4 of numerous employees concerning Defendants' common policies and practices, there is  
5 compelling expert and statistical evidence which demonstrates that Defendants uniformly  
6 violated California laws related to overtime and breaks on a class wide basis.

7 This case satisfies all of the requirements for class certification as set forth by the  
8 California Supreme Court's recent decision in *Brinker Restaurant Corp. v. Superior Court*,  
9 (2012) 53 Cal.4th 1004, 139 Cal.Rptr.3d 315. Common issues of law and fact clearly  
10 predominate. Employees were subject to common policies and practices regarding meal and rest  
11 breaks, resulting in the class wide denial of such breaks. The primary cause of the Labor Code  
12 violations in this case is the chronic understaffing at both facilities and policies which deprived  
13 employees of break relief, which resulted in employees being denied rest and meal breaks, and  
14 being forced to work off the clock. This common theory of liability, and the common evidence to  
15 support Plaintiffs' claims, render this case particularly suitable for class certification under  
16 *Brinker*. Accordingly, Plaintiffs' motion for class certification should be granted.

## 17 **II. FACTUAL AND PROCEDURAL BACKGROUND**

18 Plaintiffs and members of the putative class are current and former non-exempt  
19 employees of Las Encinas and Charter Oak from August 6, 2005, to the present, who provided  
20 patient care, and held the following job titles: Registered Nurse ("RN") (including Charge  
21 Nurse), Licensed Vocational Nurse ("LVN"), Licensed Psychiatric Technician ("LPT"), and  
22 Mental Health Worker ("MHW") (also known as Behavioral Health Specialist ("BHS") or  
23 Psychiatric Assistant ("PA") at Charter Oak). There are approximately 550 class members for  
24 Las Encinas and 550 for Charter Oak. There are a total of 1053 individual class members in that  
25 some employees worked at both facilities during the class period. Declaration of Michael D.

1 Seplow (“Seplow”) ¶ 4. Plaintiffs’ originally filed this action on August 6, 2009.<sup>1/</sup>

2 **A. Chronic Staffing Problems at Las Encinas and Charter Oak Hospitals**

3 Las Encinas Hospital and Charter Oak Hospital are psychiatric hospitals owned and  
4 operated by Defendant Aurora Behavioral Health Care. Seplow Decl., Ex. 1. Throughout the  
5 relevant class period, the hospitals were chronically understaffed, presenting a serious risk to the  
6 welfare of both employees and patients of the hospitals.<sup>2/</sup> Notably, there have been several high  
7 profile incidents at the hospitals such as patient deaths, suicides, attempted suicides, including an  
8 incident at Charter Oak where a patient stabbed herself in the eyes and was blinded, the reported  
9 rape of a patient by a fellow patient, as well as numerous assaults by patients on staff or other  
10 patients.<sup>3/</sup> These incidents are directly attributed to inadequate staffing at the hospitals and have  
11 resulted in increased pressure on the nursing staff to place patient safety above all other concerns,  
12 including being able to take rest and meal breaks.<sup>4/</sup>

13 Defendants’ desire to place profits above care for patients or employees provides the  
14 backdrop for this case in which the duties of hospital employees to provide patient care clashed

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15  
16 1. The operative Fourth Amended Complaint contains the following causes of action: 1. Failure to Pay Overtime Compensation (Cal. Labor Code § 1194); 2. Failure to Provide Meal and Rest Periods (Cal. Labor Code § 226.7); 3. Waiting Time Penalties (Cal. Labor. Code § 203); 4. Failure to Provide Accurate Itemized Statements (Cal. Labor Code § 226); 5. Improper Deductions and Withholdings (Cal. Labor Code §§ 221-224); 6. Payment for Required and Necessary Expenditures (Cal. Labor Code § 2802); 7. Unfair Business Practices (Cal. Business and Professions Code §§ 17200 *et seq.*); 8. Private Attorney General Act (“PAGA”) (Cal. Labor Code §§ 2698 *et seq.*). Plaintiffs are not seeking class certification as to the Fifth Cause of Action for Improper Deductions. Moreover, class certification is not necessary or proper for Plaintiffs’ PAGA claims (8th Cause of Action). *See Arias v. Superior Court* (2009) 46 Cal.4th 969, 981.

22 2. Cline Decl., ¶ 5; Ilarraza Decl., ¶ 7 (“The understaffing created serious safety issues on  
23 the units”); Alberts ¶ 7; Cabrera ¶¶ 23-24; Radulescu ¶ 10; Garcia ¶ 9; Lane ¶ 5; Ledbetter ¶ 9.

24 3. *See* “Deaths at rehab hospital in Pasadena draw scrutiny,” Los Angeles Times, August  
25 21, 2008; “Aurora Las Encinas hospital faces renewed scrutiny,” Los Angeles Times, March 6,  
26 2010; *Bliss v. Aurora Behavior Health System*, LASC Case No. KC062606 (November 28,  
27 2011); *see also* Radulescu ¶ 10; Alberts ¶¶ 4, 6 (assaults, deaths); Cabrera ¶¶ 24-25 (assaults,  
self-mutilation); Eidson 9, 12 (deaths, rape); Ilarraza ¶ 7 (injuries to patients and staff).

28 4. Declaration of Denise Rounds ¶ 59; *see also* Galvan ¶ 7; Garcia ¶ 9; Motola ¶ 3; Lane  
¶ 4; Alberts ¶ 4, 14; Cabrera ¶ 23; Cline ¶ 6; Eidson ¶ 6; Ramirez ¶ 14.

1 with Defendants' obligation to provide employees with meal and rest breaks, overtime pay, and  
2 necessary supplies and training.<sup>5/</sup> The evidence shows that in response to the understaffing and  
3 related patient care issues, Defendants adopted policies and practices which resulted in the class  
4 wide denial of meal and rest breaks to employees, and other violations of California's Labor  
5 Code. Indeed, as the result of a site inspection in September 2008 by the Department of Health  
6 and Human Services, Centers for Medicare & Medicaid Services ("CMS"), Las Encinas Hospital  
7 was found deficient in failing to protect and promote patient rights. In particular, CMS  
8 determined that:

9       The facility failed to ensure employees assigned to monitor patients on a 1:1 basis had  
10 no other responsibilities that would interfere with their assignment. The facility failed to  
11 ensure that employees monitored patients every 15 minutes in accordance with policies  
12 and procedures. **The facility failed to ensure that employees did not exceed the staff  
to patient ratio required by state law when they assumed co-workers assignments  
to provide break relief.** Seplow, Ex. 18, at 2 (emphasis added).

13 The CMS report further noted that break relief was not provided to staff in a manner consistent  
14 with their patient care duties. *Id.* at 8-10. Moreover, Plaintiffs' nursing expert concludes that  
15 Defendants engaged in under-staffing an an intentional business practice, thereby chronically  
16 preventing staff from being able to take the meal and rest breaks to which they are entitled under  
17 the law, as well as endangering the patients and staff. Rounds ¶¶ 31-59.

18       **B. Defendants' Uniform Policies Regarding Staffing And Patient Care Needs**

19       Las Encinas and Charter Oak are each registered with the State of California as an "acute  
20 psychiatric hospital." Rounds ¶ 10. Applicable regulations require that there be sufficient  
21 registered nurses on duty to provide for patient needs and staff supervision. 22 CCR § 71215(c).  
22 Moreover, 22 CCR § 71225(c) requires that a "sufficient number of appropriate personnel shall  
23 be provided for the safety of the patients." Additionally, as a condition of participation in  
24 Medicare, 42 CFR § 482.23(b) provides that: "The nursing service must have adequate numbers  
25 of licensed registered nurses, licensed practical (vocational) nurses, and other personnel to

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26       5. In conformity with Defendants' practice of placing profits over patient and employee  
27 safety, in 2008, Las Encinas provided nursing staff with a competency test, whose results are  
28 used for hospital accreditation, in which the answers were provided in the test, so that everyone  
would pass without having to study. Seplow, Ex. 20.

1 provide nursing care to all patients as needed. There must be supervisory and staff personnel for  
2 each department or nursing unit to ensure, when needed, the immediate availability of a  
3 registered nurse for bedside care of any patient.”

4 According to Defendants’ staffing policies, which are supposed to be consistent with state  
5 and federal laws and regulations, there must be at least one RN, and at least two staff members  
6 total, on each unit at all times.<sup>6/</sup> Moreover, there must be at least one licensed staff member (RN,  
7 LVN or LPT) on the unit for every six (6) patients.<sup>7/</sup> Defendants repeatedly stress that patient care  
8 is the number one priority, and that there must be adequate staff on the units at all times in order  
9 to ensure patient safety.<sup>8/</sup> Indeed, employees cannot leave their units without sufficient coverage  
10 and those who leave their units without relief are subject to discipline.<sup>9/</sup> Therefore, according to  
11 Defendants’ own practices and policies, whenever patient care duties conflicted with the  
12 employees taking breaks, patient needs would always prevail.<sup>10/</sup>

13 **C. Defendants’ Policies And Procedures Regarding Rest Breaks**

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14  
15 6. Deposition of Cheryl Cook (“Cook”), 89:12-90:7, 128:18-23 (“There must be a  
16 minimum of two staff on a unit,” with at least one of them being a RN); Cruz ¶ 5; Ruiz ¶ 5;  
17 Spann ¶ 5; Radulescu ¶ 11; Galvan ¶ 4; Motola ¶ 4; Alberts ¶¶ 12-13; Cabrera ¶ 8; Cline ¶ 6.  
Eidson ¶ 9; Lagerstrom ¶ 13.

18 7. Cook 90:4-7; Cline ¶ 5; Seplow Decl., Ex. 17 (setting forth minimum number of staff  
19 on Las Encinas units).

20 8. Seplow Decl., Ex. 12 (“Patient safety is the #1 priority”); Cook 319:19-320:4, 320:20-  
21 24, 417:22-418:16; Deposition of Evaldo Casas (“Casas”), 53:7-11; Motola Decl., ¶ 3; Alberts ¶  
22 4, 13-14; Cabrera ¶ 8; Moody ¶ 9; Radulescu ¶ 11; Lagerstrom ¶ 9; Ramirez ¶ 11; Galvan ¶ 8;  
Chesson ¶ 9; Cruz ¶ 8; Ruiz ¶ 9.

23 9. Seplow, Ex. 11 (“No charge RN is to leave his or her unit without first being relieved  
24 by another RN, or the House Supervisor. Due to the serious nature of this issue, this is a zero  
25 tolerance issue.”); Cook 442:6-24, 444:1-7; Casas 54:1-14, 55:1-56:5; Radulescu ¶¶ 11, 12;  
Breilein ¶ 5; Motola ¶ 4; Alberts ¶¶ 12-13; Cline ¶ 6; Lagerstrom ¶ 13; Iarraza ¶ 8; Ramirez ¶  
15; Sanchez ¶ 8; Johnson ¶ 8, 16; Pittman ¶ 9; Moody ¶ 9; Contreras ¶ 4.

26 10. Seplow, Ex. 12; Cook 319:19-320:4, 320:20-24; Cruz ¶ 8; Ruiz ¶ 12; Spann ¶ 10;  
27 Radulescu ¶ 16; Chesson ¶¶ 5, 10; Palionis ¶ 12; Breilein ¶ 9; Motola ¶ 3; Lane ¶ 4; Alberts ¶ 14;  
28 Cline ¶ 8; Lagerstrom ¶¶ 12-13; Moody ¶ 9 (“the Hospital required staff to prioritize patient  
safety over all else...”).

1 Because Defendants' policies required that sufficient staff be on the units at all times,  
2 employees were typically prohibited from leaving their unit without designated relief.<sup>11/</sup> Further,  
3 an RN cannot be relieved except by another RN, and a LVN cannot be relieved except by another  
4 LVN or RN.<sup>12/</sup> However, pursuant to Defendants' policies and practices, no one was scheduled to  
5 relieve employees for their mandatory 10-minute rest breaks for each four hours of work.<sup>13/</sup>  
6 Therefore according to Defendants' own procedures, putative class members were routinely and  
7 uniformly denied uninterrupted 10 minutes breaks because they were not allowed to leave their  
8 units and no rest break relief was provided.<sup>14/</sup> Moreover, Defendants did not have a procedure  
9 whereby employees could be compensated for missed rest breaks and, consequently, employees  
10 were routinely not compensated for such missed breaks.<sup>15/</sup>

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12 11. Cook 252:6-8, 254:10-255:12 (RN could be disciplined for leaving the unit without  
13 relief even if he or she left at designated break time); Galvan ¶¶ 7-8; Ramirez ¶ 15; Breilein ¶¶ 5,  
14 9; Lane ¶ 8; Cabrera ¶ 8; Chesson ¶ 10; Sanchez ¶ 9; Eidson ¶ 7; Cline ¶ 6; Moody ¶ 9; Cruz ¶ 8;  
15 Lagerstrom ¶ 13; Alberts ¶ 13 (“[S]taff in a private psychiatric hospital *cannot* simply leave  
16 mentally ill and physically sick, or violent, people alone to go have a meal period.”); Cruz ¶ 9;  
Breilein ¶ 9 (the policy that “we could not leave our patients without being relieved” was “Las  
Encinas’ most-important policy”); Seplow, Ex. 11, 27; Motola ¶ 3.

17 12. Cook 164:3-11, 252:18-24; Breilein ¶ 5; Sanchez ¶ 9; Chesson ¶ 10; Cline ¶ 6;  
18 Lagerstrom ¶ 13; Seplow, Ex. 11.

19 13. Casas 113:14-25 (scheduled break relief was for meal breaks only, not rest breaks);  
20 Cook 165:8-12; Ramirez Decl., ¶ 27; Garcia ¶ 10; Breilein ¶ 20; Lagerstrom ¶ 21; Cline ¶¶ 6, 8;  
21 Galvan ¶ 12; Ilarraza Decl., ¶ 19; Ledbetter ¶ 18; Alberts ¶ 17; Motola Decl., ¶ 11; Radulescu ¶  
Palionis ¶ 8; Empalmado ¶ 9.

22 14. Las Encinas’ written policy contained in the employee handbook does not explicitly  
23 state that employees will be provided with a 10-minute break for each four hours of work, as  
24 required by California law. *See Brinker*, 139 Cal.Rptr.3d at 334. Rather, the handbook merely  
25 states that employees are to receive two 10 minute breaks *during the course of the shift* and that  
the supervisor is supposed to schedule them approximately half way between the start time and  
the meal break and halfway between the meal break and the end of the shift. Seplow, Ex. 8.

26 15. Cook 265:8-11 (Las Encinas hospital does not keep track of whether 10-minute rest  
27 breaks are taken); Casas 127:6-8 (he is not aware of any form that employees could use to report  
28 a missed 10-minute break); Ramirez Decl., ¶ 29; Ilarraza ¶ 21; Radulescu ¶ 19; Galvan ¶ 15;  
Breilein ¶ 21; Motola ¶ 11; Lane ¶ 18; Alberts ¶ 17; Cabrera ¶ 18; Eidson ¶ 21; Moody ¶ 25;

1           **D. Defendants’ Policies And Procedures Regarding 30 Minute Meal Breaks**

2           Defendants also maintained uniform practices and procedures which resulted in  
3 employees routinely being denied their uninterrupted 30 minute meal breaks within the first five  
4 hours of their shifts. As a result of staffing requirements and patient care needs, staff could not  
5 take meal breaks unless they were provided with break relief. It was not until November 17, 2005  
6 that Las Encinas Hospital even began attempting to schedule a person to provide meal break  
7 relief.<sup>16/</sup> Nonetheless, according to Defendants’ own scheduling records, even after November  
8 2005, there were often shifts where no one was assigned to break relief.<sup>17/</sup> Even when there was  
9 the break relief listed on the schedule, the break relief person often did not show up either  
10 because they were absent or were assigned to tasks *other* than break relief in order to meet the  
11 hospitals’ patient care needs.<sup>18/</sup> Moreover, even when there was staff assigned to provide break  
12 relief, the relief staff was wholly insufficient to allow for relief of all employees.<sup>19/</sup>

13           On those occasions when meal break relief was provided, it was routinely not provided  
14  
15

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16 Ramirez ¶ 29; Sanchez ¶ 17.

17           16. Seplow ¶ 13; *see also* Casas 117:20-118:14; Eidson ¶ 14.

18           17. Seplow, Ex. 2 (according to a random sampling of the daily schedules at Las Encinas  
19 from August 2005 through August 2009, there was no one scheduled to provide break relief on a  
20 large percentage of shifts; for example, for the night shifts, 61% of the time, there was no break  
21 relief scheduled for RNs and 51% of the time there was no break relief scheduled for MHWs),  
22 Ex. 3; *see also* Casas 50:18-21 (as staffing coordinator, Mr. Casas was not always able to  
23 schedule break relief for particular shifts), 159:8-15; Ramirez ¶ 16; Lagerstrom ¶ 15; Moody ¶ 12  
24 (“When there was no ‘break reliever’ scheduled, there was no mechanism for staff to receive  
25 relief for meal breaks because the nursing supervisors typically could not, and did not, provide  
26 relief for breaks”); Ramirez ¶ 16; Cabrera ¶ 9; Eidson ¶ 15; Sanchez ¶ 9; Radulescu ¶ 13;  
27 Chesson ¶ 10; Cruz ¶ 9; Eidson ¶¶ 14-15; Ledbetter ¶ 12.

28           18. Ramirez ¶ 17 (“When working as the acting scheduling coordinator, I often had to  
assign the break reliever to tasks that would prevent them from doing any break relief.”); Cabrera  
¶ 9; Cruz ¶ 9; Eidson ¶ 15; Lagerstrom ¶ 15; Moody ¶ 13; Ramirez ¶ 17; Chesson ¶ 10.

          19. Lane ¶¶ 12-14; Cabrera ¶ 10; Chesson ¶ 10; Cruz ¶ 9; Eidson ¶ 15; Lagerstrom ¶ 16;  
Moody ¶ 14; Ramirez ¶ 17.

1 within the first five hours of employees' shifts.<sup>20/</sup> Indeed, Las Encinas' employee handbook does  
2 not state that breaks would be provided within the first five hours of employees' shifts.<sup>21/</sup> Finally,  
3 even when staff were relieved for breaks, they were prohibited or discouraged from leaving the  
4 premises of the hospitals.<sup>22/</sup> As a result of these policies, putative class members were frequently  
5 not provided with 30-minute meal breaks relieved of all duty. Management personnel were aware  
6 that employees were consistently not receiving breaks due to issues with understaffing and the  
7 limited availability of break relief, yet they took no real steps to address these problems.<sup>23/</sup>

8 Further, according to Defendants' policies, if an employee was not able to take a meal  
9 break, it was up to the employee to obtain authorization from a supervisor to receive payment for  
10 the missed meal break.<sup>24/</sup> However, employees were actively discouraged by management from  
11 requesting compensation for missed meal periods by, among other things, being told that they  
12 could be written up or subject to discipline if they requested too many missed meal periods.<sup>25/</sup>  
13 Moreover, the evidence shows that employees who requested compensation for

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17 20. Breilein ¶ 11 (the vast majority of times he did receive relief for a meal break, it was  
18 not until after five hours into his shift); Cruz ¶ 9; Galvan ¶ 9; Lane ¶ 11; Lagerstrom ¶ 17 (is not  
19 aware of a Hospital policy requiring meal breaks within first five hours); Moody ¶ 16; Chesson ¶  
20 10; Palionis ¶ 10; Ramirez ¶ 19.

21 21. Seplow, Ex. 8; *see also* Casas 172:2-25 (Mr. Casas does not recall a policy requiring  
22 that meal breaks be provided in the first five hours of employees' shifts).

23 22. Nocon Depo., 13:8-16 (staff prohibited from leaving hospital grounds during meal  
24 breaks); Johnson ¶ 14; Radulescu ¶ 16.

25 23. Ramirez ¶ 22; Motola ¶ 10; Ruiz ¶ 16; Cline ¶ 9; Chesson ¶ 13; Cline ¶¶ 7-9; Cruz ¶  
26 11; Eidson ¶ 18; Johnson ¶ 16; Palionis ¶ 9, 12; Radulescu ¶ 17.

27 24. Casas 173:9-24, 176:2-179:4, 187:16-188:1; Cook 167:5-23, 245:8-16; Ruiz ¶ 15;  
28 Spann ¶ 14; Radulescu ¶ 18; Galvan ¶ 10; Motola ¶ 6; Ilarraza ¶ 16; Ramirez ¶¶ 23-24.

29 25. Moody ¶ 21 ("our supervisors . . . would pressure us not to ask for missed meal  
30 compensation, saying that employees would get written up if we asked too often"); Ramirez ¶ 23;  
31 Ruiz ¶ 15; Spann ¶ 14; Chesson ¶ 13; Empalrado ¶ 12; Breilein ¶ 16; Lane ¶ 9; Alberts ¶ 15;  
32 Cline ¶¶ 6, 8; Lagerstrom ¶ 19; Ilarraza ¶ 17; Moody ¶ 21; Ramirez ¶ 23; Pittman ¶ 15.

1 missed meal breaks routinely had such requests disapproved by management.<sup>26/</sup> In order to cover  
2 up their violations of the California Labor Code, Defendants maintained a policy at their  
3 hospitals wherein employees were directed and required by Defendants to clock out for meal  
4 breaks, regardless of whether such breaks were taken.<sup>27/</sup> In fact, several employees and nursing  
5 supervisors were personally instructed that nursing staff must clock out for breaks regardless of  
6 whether they are taken. *See* Ramirez ¶ 20 (told by Cheryl Cook that employees were required to  
7 clock out for meal breaks regardless of whether breaks were actually received); Motola ¶ 7 (“I  
8 was instructed by Director of Nursing Brenda Nocon that I and my staff should simply clock out  
9 for breaks and then go back to the unit and continue to work so that it would appear that we had  
10 not missed our break”). Indeed, where an employee did not clock out for a meal break, the  
11 staffing coordinator would simply adjust his or her time records to correct this.<sup>28/</sup>

12 As a result of these policies, employees were routinely denied proper compensation for  
13 having had to work during their required rest and meal breaks. By placing the onus on the  
14 employee to justify and seek approval for a missed meal break, and engaging in practices that  
15 pressured employees not to seek compensation for missed meal breaks, Defendants engaged in a  
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17 26. Ramirez ¶¶ 23-24, Sanchez ¶ 14; Johnson ¶ 17; Spann ¶¶ 19; Chesson ¶ 12; Breilein  
18 ¶¶ 13-14; Alberts ¶ 15; Cabrera ¶ 13; Eidson ¶ 16; Ilarraza ¶ 16; Moody ¶¶ 20-21.

19 27. Ramirez ¶¶ 20, 25; Ilarraza ¶ 15 (“It was common practice for employees to clock  
20 out for meal breaks even when they did not actually take a break. [CEO] Linda Parks insisted on  
21 this and, if employees did not abide by it, they would be written up or fired.”); Motola ¶¶ 7-10;  
22 Spann ¶¶ 12, 15; Cruz ¶ 10; Sanchez ¶ 14; Alberts ¶ 15 (“The practice on the units . . . was that  
23 staff would swipe out and then back in again (making it look like we had a break), or else we  
would be written up”); Lane ¶ 9; Ruiz ¶¶ 14, 16; Radulescu ¶ 18; Galvan ¶ 11; Palionis ¶ 11;  
Empalmado ¶ 11; Breilein ¶¶ 16, 18; Alberts ¶ 15; Cabrera ¶¶ 14-15; Eidson ¶ 18; Lagerstrom ¶  
20; Moody ¶ 17; Johnson ¶¶ 15-16; Pittman ¶ 13; Contreras ¶ 8.

24 28. Chesson ¶ 11 (“Even when I forgot to clock in and out [for meal breaks that were not  
25 taken], it didn’t matter because the Hospital would simply alter the hours on our payslips so that  
26 we were not paid for the extra time”); Lane Decl., ¶ 9 (“Evaldo Casas, the staffing coordinator,  
27 told me that he would manually add my meal breaks by hand to my time cards even if I did not  
28 take them”); *see also* Casas 134:22-135:7, 139:20-140:14, 141:11-22, 181:11-23, 182:17-185:2  
(Evaldo Casas, the staffing coordinator at Las Encinas, was authorized to, and did, make  
adjustments to people’s timecards when they did not clock in and out for meal periods); Seplow,  
Ex. 29 (showing adjustments made to time card by Mr. Casas to add “punches” for meal breaks).



1 class wide practice which effectively denied meal breaks to their patient care employees.

2 **1. Statistical Evidence of Defendants' Illegal Practices**

3 There is compelling statistical evidence of Defendants' common illegal practices,  
4 including that the data produced by Defendants show: (1) 44.1% of recorded meal breaks were  
5 less than 30 minutes in length; (2) 41.1% of recorded meal breaks were taken more than six  
6 hours after the start of the work period; (3) over 80% of the recorded meal breaks were either less  
7 than 30 minutes or started more than six hours after the start of the work period; (4) 87.4% of all  
8 work periods over 10 hours do not have a second meal break; (5) 33.6% of the second meal  
9 breaks that were taken were less than 30 minutes; (6) 73.0% of the second meal breaks that were  
10 taken began more than eleven hours after the start of the work period; (7) every second meal  
11 break taken was shorter than 30 minutes or was one that started more than eleven hours after the  
12 start of the work period; (8) 24.5% of the first recorded meal and 46% of the second recorded  
13 meal breaks are "round punch meal breaks," which means the meal breaks were added or edited  
14 by supervisors; (9) 86.1% of all sampled class members' time records showed "round punch work  
15 periods," which means the work periods were added or edited by supervisors; (10) there was a  
16 widespread practice of modifying potential class member's timekeeping records; (11) on days  
17 where no break relief was reflected in the Daily Schedules the timekeeping records still reflected  
18 an average of 2.2 meal breaks per day, suggesting that the timekeeping data over-reports the  
19 number of meal breaks. *See* Declaration of Brian Kriegler ("Kriegler"), ¶¶ 17-27.

20 **E. Defendants' Policies And Procedures Regarding Overtime**

21 According to Defendants' policies, overtime had to be approved in advance and employees  
22 could be disciplined for unapproved overtime.<sup>29/</sup> Defendants actively discouraged employees  
23 from working overtime by, among other things, threatening them with discipline if they worked  
24 too much overtime, criticizing employees who requested overtime, and repeatedly denying

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28 29. Cook 268:4-13, 269:9-17; Casas 186:22-187:1, 187:16-188:1; Seplow, Ex. 14; Ruiz ¶ 18; Motola ¶ 12; Lane ¶ 19; Cline ¶ 8; Ilarraza ¶ 22; Moody ¶ 26; Ramirez ¶ 31; Spann ¶ 18.

1 overtime requests that were justified and mandated.<sup>30/</sup> Meanwhile, employees, in particular RNs  
2 who had to complete mandatory paperwork for their shifts, were under tremendous pressure to  
3 make sure that all of their work was done.<sup>31/</sup> This routinely resulted in employees having to clock  
4 out at the end of their shifts yet continue to work to complete their assignments, since there was  
5 not enough time during regular shifts for employees to complete their required duties. Defendants  
6 knew that employees were working off-the-clock past the end of their shifts, and condoned and  
7 encouraged this conduct.<sup>32/</sup> Likewise, the evidence shows that Las Encinas adjusted employee  
8 time records in order to eliminate overtime.<sup>33/</sup> As a result of Defendants' knowing and intentional  
9 falsification of the payroll records in order to conceal their unlawful payment practices,

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11 30. Ilarraza ¶¶ 22-24 (when employees would request overtime, CEO Linda Parks would  
12 insinuate that they were incompetent for being unable to complete all job duties within their  
13 scheduled shift and threaten to discipline or terminate them); Motola ¶ 12 (“I was criticized for  
14 working or allowing overtime even though there was not enough time in my normal shifts for me  
15 and my staff to complete our duties”); Garcia ¶ 12; Cabrera ¶ 20; Chesson ¶ 15; Cline ¶ 8; Cruz ¶  
16 11; Empalmado ¶ 14; Eidson ¶¶ 25-26; Contreras ¶¶ 22-23.

17 31. Cook 320:25-321:7 (it was critical that nursing staff complete documentation on  
18 their patients); Seplow, Ex. 12 (“Staff will be held accountable for the accuracy of their  
19 documentation”); Contreras ¶ 24; Pittman ¶ 20; Sanchez ¶ 29; Ramirez ¶ 31; Moody ¶ 26;  
20 Ilarraza ¶ 22; Spann ¶ 11; Chesson ¶ 14; Palionis ¶ 13; Empalmado ¶¶ 10, 13; Breilein ¶ 7; Lane  
21 ¶ 19; Alberts ¶ 18; Cabrera ¶ 19; Cline ¶ 7; Eidson ¶¶ 22-23; Motola ¶ 12 (“I was told by  
22 [Director of Nursing Brenda] Nocon ... that working off the clock was the normal practice at Las  
23 Encinas because the hospital did not want to pay overtime but expected that all work would be  
24 completed before the end of the shift”).

25 32. Ramirez ¶¶ 31-32 (“[W]hen I stayed past the end of my shift, the supervisors on the  
26 next shift would see me while doing their rounds. They would sometimes ask what I was doing  
27 and whether I was off-the-clock to ensure that I was not working on-the-clock.”); Moody ¶¶ 26-  
28 27; Ilarraza ¶¶ 22, 24 (“When I worked as a nursing supervisor, I was aware that people were  
working off-the-clock past the end of their shifts, but I had no choice but to ignore this practice  
because I knew Linda Parks would not approve the overtime and I didn’t want the employees or  
myself to get in trouble”); Motola ¶ 12; Cline ¶¶ 7-9; Sanchez ¶ 19 (“I would be instructed by  
my supervisor to clock out and continue to work off-the-clock”); Spann ¶ 16; Chesson ¶ 14;  
Empalmado ¶ 13; Palionis ¶ 13; Lane ¶ 19; Alberts ¶¶ 18-19; Eidson ¶¶ 23-24; Johnson ¶ 21;  
Pittman ¶ 20; Contreras ¶ 24; Seplow, Ex. 13.

33. Ramirez Decl., ¶ 34 (as the acting scheduling coordinator, Lisa Ramirez was  
instructed to alter time records when time clocks showed that employees worked overtime or did  
not receive a full 30-minute lunch).

1 employees did not receive all overtime compensation to which they were owed.

2 **F. Defendants' Policies And Procedures Regarding Necessary Employee**  
3 **Expenditures**

4 Defendants regularly required employees to make necessary expenditures in direct  
5 consequence of the discharge of their duties, yet failed to indemnify their employees for these  
6 costs. Specifically, employees routinely provided and/or paid for their own equipment, including  
7 cleaning supplies and medical devices, and were required to pay for other mandatory items such  
8 as key cards.<sup>34/</sup> Additionally, employees were required to take annual CPR classes, which they  
9 did on their time and at their own expense.<sup>35/</sup>

9 **III. PROPOSED CLASSES AND SUBCLASSES**

10 Plaintiffs seek certification on the basis of various subclasses which reflect that nature of  
11 the claims and the respective class wide practices and policies of Defendants. First, Plaintiffs  
12 propose that there be two overall subclasses: one for individuals employed by Las Encinas, and  
13 one for individuals employed by Charter Oak, between August 6, 2005 and the present date.<sup>36/</sup>

14 Plaintiffs further proposes additional subclasses as set forth below.

15 **Rest breaks subclass**

16 All non-exempt employees who had responsibility for patient care, including  
17 without limitation, registered nurses, licensed psychiatric technicians, mental  
18 health workers, and all other similarly situated employees (collectively referred to  
19 as "PATIENT CARE WORKERS") who were denied rest breaks to which they  
20 were entitled pursuant to the California Labor Code, the California Industrial  
21 Welfare Commission's ("IWC") Wage Orders and all other applicable  
22 employment laws and regulations.

20 **Meal break subclass**

21 All PATIENT CARE WORKERS who were denied meal breaks to which they  
22 were entitled pursuant to the California Labor Code, IWC Wage Orders and all

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23 34. Alberts ¶ 21; Ledbetter ¶ 22; Lane ¶ 21; Motola ¶ 13; Breilein ¶ 25; Empalmado ¶ 16;  
24 Garcia ¶ 14; Radulescu ¶ 24; Spann ¶ 20; Cruz ¶ 20; Contreras ¶ 28; Ilarraza ¶ 25 ; Johnson ¶ 22.

25 35. Seplow Decl., Ex. 16; Cook 463:15-464:20; Chesson ¶ 17; Breilein ¶ 23; Lane ¶ 20;  
26 Alberts ¶ 20; Cabrera ¶ 26; Eidson ¶ 29; Lagerstrom ¶ 26; Ilarraza ¶ 25; Contreras ¶¶ 25-26.

27 36. Las Encinas and Charter Oak are both part of the Aurora Behavioral Health Network  
28 and are owned and operated by Signature Healthcare. Nonetheless, for the purposes of this  
litigation, Plaintiffs have proposed to treat employees of the two hospitals as separate subclasses.

1 other applicable employment laws and regulations.

2 **Overtime subclass**

3 All PATIENT CARE WORKERS who were denied wages for overtime to which  
4 they were entitled pursuant to the California Labor Code, IWC Wage Orders and  
all other applicable employment laws and regulations

5 **Waiting time subclass**

6 All PATIENT CARE WORKERS who were not paid all unpaid wages due to  
7 them upon termination or resignation in the period proscribed by California Labor  
Code §§ 201, 202 or 203.

8 **Itemized Statement subclass**

9 All PATIENT CARE WORKERS who were not provided with accurate itemized  
10 statements as required by California Labor Code § 226.

11 **Improper expenses subclass**

12 All PATIENT CARE WORKERS who were required to purchase or pay for  
13 necessary work expenses such as supplies, key cards and mandatory training  
classes in violation of California law, including Labor Code § 2802.

14 **IV. CLASS CERTIFICATION SHOULD BE GRANTED**

15 In the recent landmark *Brinker* decision, the California Supreme Court reaffirmed the  
16 viability of class actions in wage and hour cases, including claims for meal and rest breaks  
17 violations. “Class actions have been statutorily embraced by the Legislature whenever ‘the  
18 question [in a case] is one of a common or general interest, of many persons, or when the parties  
19 are numerous, and it is impracticable to bring them all before the court....’”139 Cal.Rptr.3d at  
20 327 [quoting CCP § 382]. Moreover, as the California Supreme Court held in *Sav-On Drug  
Stores, Inc. v. Superior Court*:

21 California's overtime laws are remedial and are to be construed so as to promote  
22 employee protection. And, as we have recognized, this state has a public policy which  
23 encourages the use of the class action device. By establishing a technique whereby the  
24 claims of many individuals can be resolved at the same time, the class suit both  
eliminates the possibility of repetitious litigation and provides small claimants with a  
method of obtaining redress for claims which would otherwise be too small to warrant  
individual litigation.

25 (2000) 34 Cal.4th 319, 326 [internal quotations and citations omitted].

26 In order to prevail on a motion for class certification, “[t]he party advocating class  
27 treatment must demonstrate the existence of an ascertainable and sufficiently numerous class, a  
28

1 well-defined community of interest, and substantial benefits from certification that render  
2 proceeding as a class superior to the alternatives.” *Id.* “The ‘community of interest’ requirement  
3 embodies three factors: (1) predominant common questions of law or fact; (2) class  
4 representatives with claims or defenses typical of the class; and (3) class representatives who can  
5 adequately represent the class.” *Sav-On*, 34 Cal.4th at 326.

6 The ultimate question the element of predominance presents is whether the issues which  
7 may be jointly tried, when compared with those requiring separate adjudication, are so  
8 numerous or substantial that the maintenance of a class action would be advantageous to  
9 the judicial process and to the litigants. The answer hinges on whether the theory of  
10 recovery advanced by the proponents of certification is, as an analytical matter, likely to  
11 prove amenable to class treatment. A court must examine the allegations of the complaint  
and supporting declarations and consider whether the legal and factual issues they  
present are such that their resolution in a single class proceeding would be both desirable  
and feasible. As a general rule if the defendant's liability can be determined by facts  
common to all members of the class, a class will be certified even if the members must  
individually prove their damages.

12 *Brinker*, 139 Cal.Rptr.3d at 327-28 [citations omitted]. In this case, Plaintiffs challenge the  
13 legality of Defendants’ classwide practices and procedures regarding meal and rest breaks as  
14 applied to all class members, including the policies and practices requiring staff not to leave their  
15 units without relief, and which place the onus on staff to get approval for a missed break even  
16 when no relief is provided. Plaintiffs’ theories of liability are supported by common evidence.

17 As the *Brinker* Court made clear at the class certification stage, the trial court should not  
18 wade into the merits of plaintiffs’ claims. “The certification question is essentially a procedural  
19 one that does not ask whether an action is legally or factually meritorious.” *Id.* at 329 [citations  
20 omitted]. Therefore “[a] class certification motion is not a license for a free-floating inquiry into  
21 the validity of the complaint's allegations; rather, resolution of disputes over the merits of a case  
22 generally must be postponed until after class certification has been decided.” *Id.*

23 In applying these standards to the present case, it is clear that Plaintiffs have satisfied all  
24 of the elements necessary for class certification because common issues predominate.

25 **A. The Class is Ascertainable.**

26 Ascertainability is determined largely by examining the class definition, the size of the  
27 class, and the means available for identifying class members. *See Bufil v. Dollar Financial*  
28 *Group, Inc.* (2008) 162 Cal.App.4th 1193, 1207. “Class members are ascertainable where they

1 may be readily identified without unreasonable expense or time by reference to official records.”  
2 *Id.* at 1206. Here, the proposed class and subclasses are ascertainable because all of the class  
3 members, who are non exempt patient care former and current employees of Defendants, may be  
4 identified through Defendants’ employee and payroll files.

5 **B. Numerosity is Satisfied.**

6 The numerosity requirement is met if the class is so large that joinder of all members  
7 would be impracticable. CCP § 382. With 550 putative class members of each hospital, the  
8 proposed classes and subclasses are sufficiently numerous. Joinder of all of these class members  
9 would be impracticable and a class-wide proceeding is preferable because this number is so  
10 large. *See Hebbard v. Colgrove* (1972) 28 Cal.App. 3d 1017, 1030 (no set minimum to meet  
11 numerosity prerequisite and class as few as 28 members is acceptable). This case more than  
12 satisfies the numerosity requirement.

13 **C. Common Questions of Law and Fact Predominate.**

14 Common questions of law and fact predominate in this case. Since at least August 2005,  
15 putative class members were subject to the same employment practices (failure to pay overtime,  
16 failure to provide meal and rest breaks, and requiring employees to pay for required and  
17 necessary business expenses) on a class-wide basis.<sup>37/</sup>

18 The predominant issues in this case focus on the whether Defendants’ policies and  
19 practices violated California law regarding meal and rest breaks and the payment of overtime  
20 wages and expenses for necessary work items and/or expenses. The resolution of these issues is  
21 particularly amenable to class treatment as each issue implicates uniform policies or practices  
22 applied among all class members, as exemplified in the class members’ declarations, deposition  
23 testimony, and from Defendants’ own policies and documents. *See Sav-On* at 334  
24 (“Predominance is a comparative concept, and ‘the necessity for class members to individually  
25 establish eligibility and damages does not mean individual fact questions predominate.”)

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27 37. All of the proposed class members are governed by Wage Order 5, which applies to  
28 healthcare workers in public facilities like hospitals. See Wage Order 5, section 2(P); 8 Cal.Code  
Regs. § 11050(P)(4).

1                   **1. Common issues predominate with respect to Plaintiffs’ rest break**  
2                   **claims.**

3                   As set forth above, Defendants had a uniform practice and policy regarding 10 minute  
4 rest breaks which Plaintiffs contend did not comport with California law.<sup>38/</sup> Due to patient care  
5 requirements, staff could not leave their units for breaks unless they were provided with relief.  
6 Nonetheless, it was Defendants’ policy and practice not to schedule anyone to come to the units  
7 to provide relief for rest breaks. As a result of this policy, employees were routinely denied their  
8 10 minute rest breaks. In addition, Defendants’ offered no method through which employees  
9 could request compensation for missed rest breaks. These uniform policies demonstrate that  
10 common issues predominate the question of whether Defendants violated California law.

11                   Indeed, in *Brinker*, the Plaintiffs presented evidence of a common rest break policy that  
12 did not conform to California law. The Court noted that “The issue for the trial court was  
13 whether any of the rest break theories of recovery advanced by [Plaintiff] were likely to prove  
14 amenable to class treatment.” 135 Cal.Rptr.3d at 336. “Claims alleging that a uniform policy  
15 consistently applied to a group of employees is in violation of the wage and hour laws are of the  
16 sort routinely, and properly, found suitable for class treatment.” *Id.* at 337.<sup>39/</sup> Accordingly, in  
17 light of the evidence of Defendants’ uniform policy and practice resulting in the systemic denial  
18 of 10 minute rest breaks to patient care employees, the rest break class should be certified.

19                   **2. Common issues predominate with respect to Plaintiffs’ meal break**  
20                   **claims.**

21                   Plaintiffs have also presented substantial evidence of Defendants’ common practices and  
22 policies which violate California law requiring that employees be allowed to take a 30 minute  
23 uninterrupted meal break in which employees are relieved of all duties for each five hours of

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24                   38. “Employees are entitled to 10 minutes’ rest for shifts from three and one-half to six  
25 hours in length, 20 minutes for shifts of more than six hours up to 10 hours, 30 minutes for shifts  
26 of more than 10 hours up to 14 hours, and so on.”*Brinker*, 135 Cal.Rptr.3d at 334. Thus, 10-  
27 minute rest breaks accrue after the second and sixth hours of work in an eight hour shift. *Id.*

28                   39. The *Brinker* Court held: “No issue of waiver ever arises for a rest break that was  
required by law but never authorized; if a break is not authorized, an employee has no  
opportunity to decline to take it.”*Id.* at 337. Here, employees did not have an opportunity to  
decline to take their rest breaks because such breaks were not provided.

1 work. These common policies prohibit employees from leaving their units unless they are  
2 provided with break relief. Defendants own records, as well as expert testimony and declarations  
3 from class members, demonstrate that break relief was routinely not provided during the first five  
4 hours of an employee's shift. Moreover, Defendants' uniform policies placed the burden on an  
5 employee who did not receive his or her meal break to seek approval to be paid for a missed meal  
6 break, despite evidence that employees were discouraged from seeking such compensation by  
7 management and that approval for missed breaks was frequently denied.

8 Likewise, Plaintiffs have presented evidence that management encouraged and condoned  
9 employees to clock out for breaks and continue working so that it would appear that employees  
10 had taken their breaks when they really did not. This policy is subject to common proof  
11 including testimony from witnesses who were told by hospital management to clock out for  
12 breaks and keep working, as well as an analysis of Defendants' own schedules and time records  
13 which will demonstrate that employees consistently were denied their uninterrupted 30 minute  
14 meal breaks. Indeed, there is testimony that Directors of Nursing at Las Encinas instructed  
15 employees to clock out and clock back in for breaks—which demonstrates that this is Defendants'  
16 actual policy and practice. These common practices satisfy the requirements set forth in *Brinker*  
17 for certification of a missed meal break class. As the Court held in *Brinker*:

18 [A]n employer may not undermine a formal policy of providing meal breaks by  
19 pressuring employees to perform their duties in ways that omit breaks. (*Cicairos*  
20 *v. Summit Logistics, Inc.* (2005) 133 Cal.App.4th 949, 962–963. . ., *see also*  
21 *Jaimez v. DAIOHS USA, Inc.*, (2010) 181 Cal.App.4th 1286, 1303-04 [proof of  
22 common scheduling policy that made taking breaks extremely difficult would  
23 show violation]; *Dilts v. Penske Logistics, LLC* (S.D.Cal.2010) 267 F.R.D. 625,  
638 [indicating informal anti-meal-break policy “enforced through ‘ridicule’ or  
‘reprimand’” would be illegal].) The wage orders and governing statute do not  
countenance an employer's exerting coercion against the taking of, creating  
incentives to forego, or otherwise encouraging the skipping of legally protected  
breaks.

24 139 Cal.Rptr.3d at 343.<sup>40/</sup>

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26 40. In comparable meal and rest break cases, all of which were approved in *Brinker*,  
27 courts have held that the showing of a question of common interest is sufficient to certify a class.  
28 *See Jaimez*, 181 Cal.App.4th at 1305 (“For purposes of the class certification motion, the  
predominant common factual issue is whether [employees] missed meal breaks because  
[Defendant’s] policy and practice of designating delivery schedules and routes precluded



1 In this case, Plaintiffs have presented evidence of both formal and informal company  
2 wide policies and practices which result in the denial of meal breaks to class members, including  
3 statistical analysis of Defendants' records.<sup>41/</sup> Therefore, the *Brinker* standard for certification of a  
4 meal break class has been satisfied.

5 **3. Common issues predominate with respect to Plaintiffs' overtime**  
6 **claims.**

7 Wage Order 5, which governs the class members in this case provides that hospital  
8 employees who work more than eight hours per day or forty hours per week are entitled to  
9 overtime pay of at least one and one half their normal rate of pay. Wage Order 5, at 3-1.  
10 Nonetheless, Plaintiffs have presented evidence that Defendants systematically failed to pay  
11 overtime wages to their patient care employees. Employees report that Defendants chronically  
12 understaffed their hospitals, thus requiring employees to work off the clock after their scheduled  
13 shifts ended in order to fulfill mandatory regulations regarding patient care and the completion of  
14 required documents and paperwork. Defendants created a culture such that those employees who  
15 worked overtime were discouraged from reporting it due to widespread fear of retaliation and  
16 termination. Therefore, employees would continue to work after they had clocked out at the end  
17 of their regularly scheduled shifts in order to complete mandatory paperwork. There is  
18 compelling evidence that the practice of "off the clock work" was condoned and encouraged by

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19 [employees] from timely completing their routes and taking the legally required rest breaks . . .  
20 this issue is subject to common proof, including evidence of schedules, a sample of the actual  
21 route times, and driver testimony"); *Bufile*, 162 Cal.App.4th at 1208 ("the issues slated for contest  
22 are primarily common issues involving common evidence. It would not be efficient or fair to  
23 relegate these complaints to multiple trial."); *Ghazaryan v. Diva Limousine, Ltd.*, (2008) 169  
24 Cal.App.4th 1524, 1534 ("Determining whether a sufficient community of interest exists to  
warrant class certification, however, depends not on the differences among individual drivers'  
use of their gap time but on the reasonableness of [Defendant's] policies as applied to its drivers  
as a whole").

25 41. "California courts and others have in a wide variety of contexts considered pattern  
26 and practice evidence, statistical evidence, sampling evidence, expert testimony, and other  
27 indicators of a defendant's centralized practices in order to evaluate whether common behavior  
28 towards similarly situated plaintiffs makes class certification appropriate." *Sav-On*, 34 Cal. 4th at  
333; *see also Dilts*, 267 F.R.D. at 638-39 (holding that anecdotal evidence, as well statistical  
sampling, are appropriate means of common proof in meal and rest break class action).

1 management.<sup>42/</sup> Moreover, there is evidence of a practice of shaving hours and altering time  
2 records to reduce overtime.

3 **4. Common issues predominate with respect to Plaintiffs' necessary**  
4 **expenditures claims.**

5 Pursuant to California Labor Code § 2802, an employer must indemnify its employees for  
6 all necessary expenditures or losses incurred by the employee in direct consequence of the  
7 discharge of his or her duties. Defendants routinely failed to do so. As a result of the substandard  
8 conditions at Defendants' hospitals employees had to provide and pay for their own equipment  
9 including cleaning supplies, hand sanitizer and medical devices (including stethoscopes and  
10 blood pressure cuffs), and to pay for other mandatory items such as key cards. Additionally,  
11 employees were required to take annual CPR classes, which they did on their own time and at  
12 their own expense. Such expenses were clearly incurred in direct consequence of these  
13 employees' duties. These claims are based on Defendants' common practices and policies and  
14 therefore are proper for class certification.

14 **5. Common issues predominate with respect to Plaintiffs' waiting time**  
15 **and inaccurate wage statements claims.**

16 Labor Code §§ 201, 202 and 203 require employers to pay all wages due and owing to an  
17 employee immediately upon discharge or within 72 hours of the employee quitting his or her  
18 employment. If an employer willfully fails to pay such wages, it is liable for penalties. Labor  
19 Code § 203. Likewise, Labor Code section 226 mandates that "[a]n employee suffering injury as  
20 a result of a knowing and intentional failure by an employer to [issue accurate itemized wage  
21 statements] is entitled to recover" penalties. Because employees who were denied compensation  
22 for missed breaks and overtime are owed waiting time penalties, and further received inaccurate  
23 wage statements, these classes should also be certified if either the rest or meal break, or  
24 overtime, classes are certified, as these claims are derivative of the underlying break and  
25 overtime claims. *See Dilts v. Penske Logistics, LLC*, 267 F.R.D. at 640; *Schulz v. QualxServ,*  
26 *LLC*, 2012 WL 1439066, \*8-9 (S.D. Cal. 2012). Moreover, Plaintiffs' claims pursuant to

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27 42. In *Brinker*, the off the clock class was not certified because the plaintiff did not  
28 provide evidence of a "systematic company policy to pressure or require employees to work off  
the clock." 139 Cal.Rptr.3d at 352. In contrast, Plaintiffs have presented such evidence here.

1 Business & Professions Code §§ 17200 *et seq* are premised on Defendants' violation of the  
2 Labor Code and should be certified for the same reasons. *Id.*

3 **D. Plaintiffs Satisfy the Typicality Requirement.**

4 Typicality requires only that the proposed class representative's interests in the action be  
5 significantly similar to those of the other members of the proposed class. The proposed  
6 representative's claim need not be identical to the claims of other members of the class; it is  
7 sufficient that the representative is similarly situated, so that he or she will have the motive to  
8 litigate on behalf of all class members *See Classen v. Weller* (1983) 145 Cal.App.3d 27, 46.  
9 Here, typicality is easily met. Plaintiffs are patient care employees who worked at Defendants'  
10 facilities providing patient care.<sup>43/</sup> Plaintiffs were subjected to the same unlawful employment  
11 practices as the other patient care employees of Defendants.

12 **E. Plaintiffs and their Counsel are Adequate Class Representatives.**

13 The requirement that Plaintiffs fairly and adequately represent the class is met by  
14 fulfilling two conditions. First, Plaintiffs must be represented by counsel qualified to conduct the  
15 pending litigation. Second, Plaintiffs' interests cannot be antagonistic to those of the class.  
16 *McGhee v. Bank of America* (1976) 60 Cal.App.3d 442, 450; *accord Richmond v. Dart* (1981) 29  
17 Cal.3d 462,470. Plaintiffs' counsel is qualified to diligently represent the interests of the  
18 proposed class. *See* Seplow ¶¶ 48-53. There is no indication of any conflicts between Plaintiffs  
19 and the Class. *McGhee*, 60 Cal. App. 3d at 450.

20 **V. CONCLUSION**

21 For all the foregoing reasons, Plaintiffs' motion for class certification should be granted.

22 DATED: May 25, 2012

SCHONBRUN DESIMONE SEPLOW  
HARRIS HOFFMAN & HARRISON LLP

23 By:   
24 Michael D. Seplow  
25

26 43. Plaintiffs Valerie Alberts (RN), Rudolph Breilein (LPT), Robin Motola (RN and  
27 nursing supervisor), Cyndi Lane (MHW), and Shelby Eidson (MHW) were employees at Las  
28 Encinas during part or all of the relevant class period. Aviance Contreras worked as an MHW at  
Las Encinas and has been employed as a BHS at Charter Oak.

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**PROOF OF SERVICE**

STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

I am a resident of the aforesaid county, State of California; I am over the age of 18 years and not a party to the within action; my business address is: 723 Ocean Front Walk, Venice, California 90291.

On May 25, 2012, I served the foregoing documents described as:

**NOTICE OF MOTION AND MOTION FOR CLASS CERTIFICATION**

on all interested parties in this action by placing \_\_\_ an original or X a true copy thereof in a sealed envelope addressed as follows:

Douglas R. Hart  
Katherine A. Roberts  
Max Fischer  
Sidley Austin LLP  
555 West 5th Street  
Los Angeles, CA 90013-3000

\_\_\_ **[MAIL]** I caused such envelope to be deposited in the mail at Venice, California. The envelope was mailed with postage thereof fully prepaid.

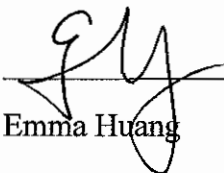
X **[BY PERSONAL SERVICE ]** I caused such document to be personally served at Los Angeles, California.

\_\_\_ **[BY E-MAIL]** I caused such documents to be delivered to the above e-mail addresses.

\_\_\_ **[BY FAX]** I transmitted said document to the above fax number(s).

X **[STATE]** I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on May 25, 2012 at Venice, California.

  
\_\_\_\_\_  
Emma Huang

1 **PROOF OF SERVICE**

2 STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

3 I am a resident of the aforesaid county, State of California; I am over the age of 18 years  
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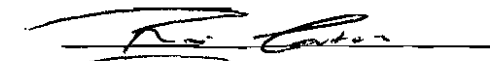
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19  
20 X **[STATE]** I declare under penalty of perjury under the laws of the State of  
California that the foregoing is true and correct.

21  
22 Executed on May 25, 2012 at Los Angeles, California.

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24   
25 **RENÉ CORTEZ**  
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